

UNITED STATES DISTRICT COURT
FOR THE DISTRICT OF OREGON

UNITED STATES OF AMERICA,

Plaintiff,

Case No. CR 03-432-HA

v.

OPINION AND ORDER

J. KENNETH STRINGER, III, J. MARK
SAMPER and WILLIAM N. MARTIN,

Defendants.

Before the Court is the government's Motion for an In-camera Review (Doc #381). Oral argument on the motion was heard on October 12, 2005. Based on this court's prior rulings, the motion is denied as to Exhibits #2 through #5.

As to Exhibit #6, the government believes that the defendants are not entitled to receive a copy of this document based on the court's prior ruling that communications between government counsel and the FBI are protected. See transcript of June 14, 2005 hearing attached as Exhibit (1). The Court does not believe it has so ruled, but even if so, the court now finds that Exhibit #6 should be disclosed to defendants based on the defendant's right to due process under Brady v. Maryland, 373 U.S. 83(1963), United States v. Brumel-Alvarez, 991 F.2d 1452, 1458, (9th Cir. 1992).

DATED this 14 day of October, 2005


ANCER L. HAGGERTY
CHIEF U. S. DISTRICT COURT JUDGE

1 IN THE UNITED STATES DISTRICT COURT

2 FOR THE DISTRICT OF OREGON

3 UNITED STATES OF AMERICA,)

4 Plaintiff,)

) CR-03-432-HA

5 vs.)

) June 14, 2005

6 J. KENNETH STRINGER, III,)

) Portland, Oregon

7 J. MARK SAMPER and WILLIAM N.)

MARTIN,)

) Pretrial Volume 1

8 Defendants.)

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10 TRANSCRIPT OF PRETRIAL PROCEEDINGS

11 BEFORE THE HONORABLE ANCER L. HAGGERTY

12 UNITED STATES DISTRICT COURT JUDGE

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14 APPEARANCES

15 FOR THE PLAINTIFF:

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23
24 EXHIBIT #1

25

1 MR. LANGFITT: We are asking, Your Honor, to
2 enter an order protecting these witnesses from an invasion
3 of the attorney-client privilege during their testimony.
4 I am prepared to be here during the testimony so that if
5 that issue comes up, we can try to address it.

6 MR. HOEVET: Your Honor, I think you have to
7 hear it question by question.

8 THE COURT: I agree. If the question is asked
9 about '04, then I would probably sustain the objection.

10 All right. Are we ready to proceed with the FBI
11 agent?

12 MR. GARTEN: We are, Your Honor. I assume at
13 this point you don't care to hear from the Government in
14 terms of -- we have no problem with the witnesses
15 testifying. We obviously have a continuing objection on
16 relevancy grounds, but we have moved to quash a subpoena
17 with respect to the underlying documents. The Government
18 is in a much different position than is FLIR, Your Honor.

19 The Government, under the well settled case law,
20 cannot use a Rule 17(c) subpoena to obtain documents from
21 the Government that would not be discoverable under
22 Rule 16. That's black letter law. It is not disputable.

23 What the defendants seek by way of their
24 subpoena is basically all of our internal work product.
25 They ask for all of our documents, notes, internal e-mails

1 that reflect anything that the Government did by way of
2 investigation from June of 2000 to September 30th, 2003.

3 That information, Your Honor, is clearly not
4 discoverable under Rule 16. As the Court well knows, the
5 only time that even government notes are turned over is
6 when there is a Brady impairment. In other words, when
7 there is a constitutional obligation to disclose that type
8 of constitutional obligation, that type of constitutional
9 obligation supersedes the strictures of Rule 16. A Rule
10 17(c) subpoena cannot be used to obtain that information
11 when it is not Brady material. That's one set of
12 information that we're not going to provide to the
13 defendant absent a court order.

14 The second set of information is communications
15 between the Government and the FBI and the Government and
16 the SEC during this time frame. That is clearly internal
17 deliberative process and work product documents,
18 Your Honor, that are not subject to discovery under
19 Rule 16 and should not be disclosed as well.

20 I segregate our internal documents, which are
21 clearly not discoverable under any set of circumstances,
22 and the communications with outside agencies prior to the
23 time of indictment. It would have a chilling effect on
24 the Government's ability to communicate with these
25 agencies. If the Court were to determine that such

1 material should be turned over, we would ask that it not
2 be turned over to the defendants, but it be turned over to
3 the Court, in camera under seal, and let the Court
4 ultimately, after hearing all the arguments, make a
5 determination, because, again, I can't stress this enough,
6 Your Honor, the Court, in the end, is going to find that
7 this type of information is irrelevant.

8 It is not discoverable under Rule 16 and,
9 therefore, not discoverable -- not subject to the Rule 17
10 subpoena. Even the defendant in their subpoena vaguely
11 understands that this type of information is not
12 discoverable when it says: This demand specifically does
13 not include information regarding investigative techniques
14 and procedures.

15 We don't know exactly what that means. But
16 Rule 16 is quite clear about what is discoverable and what
17 is not. The case law is quite clear about how Rule 17(c)
18 subpoenas cannot be broader than Rule 16.

19 THE COURT: All right.

20 MR. OLSON: Maybe to just make this simpler,
21 because the FBI agents that we subpoenaed for these
22 documents are actually -- at least a couple of them are
23 ready to go, really, we already talked about this. To
24 focus this down a little bit, what we're primarily
25 interested in are documents that relate to or show